Please enter your name, Athena login name, and recitation section above. Enter your answers in the spaces provided below. Show your work for potential partial credit. You can use the extra white space and the back of each page for scratch work.
Problem 1. Sequential Circuits in Minispec (Stop-and-wait protocol) (16 points)

A Sender is trying to send a sequence of data to a Receiver by sending a signal through the air. Usually, data from the Sender reaches the Receiver in a finite amount of time. However, sometimes, the data might take longer to transmit or get completely lost in the air.

To ensure that the Receiver receives and processes all the data in-order, the Sender and Receiver communicate using a variant of a Stop-and-wait protocol.

The Sender sends a sequence of data packets. As shown in the DataPacket struct below, each data packet contains a 32-bit word of data, and an index, idx, that identifies the position of the packet in the sequence. The index begins at 0 and increments by 1 for every new data word sent. Upon receiving a DataPacket, the Receiver sends back an AckPacket to signal that they have successfully received the data. The structure of DataPacket and AckPacket is defined as follows:

```plaintext
typedef Bit#(32) Word;

typedef struct {
    Word idx;   // index of this data packet
    Word data;  // the content of the data
} DataPacket;

typedef struct {
    Word idx;   // index of the data packet to acknowledge
} AckPacket;
```

(A) (8 points) Complete the implementation of a Sender module in Minispec, which sends a fixed sequence of 32 data words. These words are specified as a Vector#(32, Word) data. To send a message according to the stop-and-wait protocol, the Sender should:

- Iterate through the data vector from index 0 up until index 31.
- For each data index i,
  1. Construct a DataPacket that contains data[i] and whose index field is i.
  2. Send the DataPacket right away.
  3. Retransmit the same DataPacket every 3 cycles until it receives the AckPacket with same index field as the DataPacket index that the Sender sent.
  4. Once the Sender receives the AckPacket with the same index, it moves on to send DataPacket corresponding to the next index.
- After receiving an AckPacket for index 31, set finished to True to stop sending data.
Fill in the Minispec code for the Sender module below so that it conforms to the stop-and-wait protocol described above. You may use all Minispec operators, including +, -, *, /, and %.

```minispec
// “data” stores all the data to be sent
module Sender(Vector#(32, Word) data);

// AckPacket Received by the sender each cycle
input Maybe#(AckPacket) in_ACK default = Invalid;

Reg#(Word) send_idx(0);
Reg#(Word) timer(0);
Reg#(Bool) finished(False);

rule tick;
    if(isValid(in_ACK)) begin
        let ack_idx = /

            if (__ack_idx == send_idx ______) begin
                send_idx <= ___ send_idx + 1 _____________;
                finished <= __ send_idx >= 31; or send_idx == 31;
                timer <= 0;
            end
        end else begin
            timer <= __(timer == 0)? 2: timer - 1;
            or (timer + 1)% 3;
        end
    endrule

// DataPacket to transmit each cycle
method Maybe#(DataPacket) out_data;
    return (timer == 0 && !finished)? Valid(DataPacket{
        idx : ___ send_idx ____________________________,
        data : ___ data[send_idx]____________________
    }): Invalid;
endmethod

endmodule
```
The Receiver module is defined with the following Minispec code. Note that while packets are sent in order, they are not necessarily received in order.

```verilog
module Receiver;

    // DataPacket received by the receiver each cycle
    input Maybe#(DataPacket) in_data default = Invalid;

    Reg#(Maybe#(AckPacket)) to_send(Invalid);
    Reg#(Word) expected_idx(0);

    rule tick;
        Maybe#(AckPacket) packet_to_send = Invalid;
        if(isValid(in_data)) begin
            // Receiver receives a DataPacket
            let in_idx = fromMaybe(? , in_data).idx;
            if (in_idx <= expected_idx) begin
                packet_to_send = Valid(AckPacket{idx : in_idx});
                if (in_idx == expected_idx) begin
                    expected_idx <= expected_idx + 1;
                end
            end
        end
        to_send <= packet_to_send;
    endrule

    // AckPacket to transmit each cycle
    method Maybe#(AckPacket) out_ACK = to_send;
endmodule
```

(B) (8 points) Fill in the timing chart below with the register values and outputs for the first 9 cycles of the Receiver module. If the value is not known, write a “?” in the slot.

<table>
<thead>
<tr>
<th>Cycle</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>isValid(in_data)</td>
<td>False</td>
<td>True</td>
<td>False</td>
<td>True</td>
<td>True</td>
<td>False</td>
<td>True</td>
<td>True</td>
<td></td>
</tr>
<tr>
<td>fromMaybe(? , in_data).idx</td>
<td>?</td>
<td>0</td>
<td>?</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>?</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>expected_idx</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>isValid(to_send)</td>
<td>False</td>
<td>False</td>
<td>True</td>
<td>False</td>
<td>True</td>
<td>True</td>
<td>True</td>
<td>False</td>
<td>True</td>
</tr>
<tr>
<td>fromMaybe(? , to_send).idx</td>
<td>?</td>
<td>?</td>
<td>0</td>
<td>?</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>?</td>
<td>2</td>
</tr>
</tbody>
</table>
Problem 2. Arithmetic Pipelines (16 points)

You are given a module named “F.” This module has three inputs, X, Y and Z, and one output, A. You are told that the circuit functions, but its throughput is too low. You decide to pipeline the circuit.

For each of the questions below, please create a valid K-stage pipeline of the given circuit. Each component in the circuit is annotated with its propagation delay in nanoseconds. Show your pipelining contours and place large black circles (●) on the signal arrows to indicate the placement of pipeline registers. Give the latency and throughput of each design, assuming ideal registers (t_{PD}=0, t_{SETUP}=0). Remember that our convention is to place a pipeline register on each output.

(A) (1 point) Based on the circuit shown in part (B), what is the propagation delay of the whole circuit as-is without pipelining?

\[ t_{PD} \text{ (ns): } 30 \]

(B) (4 points) Show a maximum-throughput 2-stage pipeline using a minimal number of registers. What are the latency and throughput of the resulting circuit? Pay close attention to the direction of each arrow.

\[ \text{Latency (ns): } 30 \]
\[ \text{Throughput (ns⁻¹): } 1/15 \]
(C) (4 points) Show a maximum-throughput 4-stage pipeline using a minimal number of registers. What are the latency and throughput of the resulting circuit?

Latency (ns): _____32_____  
Throughput (ns⁻¹): _____1/8_____

(D) (4 points) Show a maximum-throughput pipeline that uses a minimum number of pipeline stages. What are the latency and throughput of the resulting circuit?

Latency (ns): _____42_____  
Throughput (ns⁻¹): _____1/7_____

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(E) (3 points) Now, we want to connect our max throughput Module F (from part D) to another module that does additional operations on A, the output of F. We have three separate pipelined implementations, J, K and L, that implement the same operations but with different throughputs and number of pipeline stages.

<table>
<thead>
<tr>
<th>Module</th>
<th>Throughput (ns⁻¹)</th>
<th>Number of Pipeline Stages</th>
</tr>
</thead>
<tbody>
<tr>
<td>J</td>
<td>1/6</td>
<td>3</td>
</tr>
<tr>
<td>K</td>
<td>1/7</td>
<td>2</td>
</tr>
<tr>
<td>L</td>
<td>1/8</td>
<td>1</td>
</tr>
</tbody>
</table>

When connecting the Module F to one of Module J, K, or L, you are trying to maximize throughput. If two options have equal throughput, as a secondary objective you want to minimize latency. Which module would you append on the output of F, Module J, K, or L and why did you select that one? What would the throughput and total latency of the combined device be?

Module (circle one):  

- J (T = 1/6)  
- K (T = 1/7)  
- L (T = 1/8)

Throughput (ns⁻¹): ____ 1/7 ____

Total Latency (ns): ____ 56 ____

Why did you choose that module?

Explanation:

The highest possible throughput for Module F is 1/7 because we can’t break up the block with propagation delay 7, which means that there is no point in picking Module J, which has the best throughput of the 3 modules. Instead, we want to pick Module K because it keeps the 1/7 throughput without using any unnecessary registers like picking Module J would. We do not pick Module L because that would worsen the throughput of the combined device.
Problem 3: All right then. Keep your secrets. (17 points)

Cafecafe likes to be updated on all the latest gossip going around in her high school. However, she trusts no one with her secrets and would like to build a processor that will only give out her secret value to a user that knows her secret passcode. She figures she needs a register that holds her secret value, and some way to transfer the contents of that secret register into another, user-accessible general-purpose register when needed. *Let us help her build such a processor.*

We start with this generic single-cycle RISC-V processor, similar to the one you have seen in lecture.
As a reminder, for single-cycle per instruction operation without pipelining, assume that the memory reads (both instruction and data) are combinational, as well as reads from the register file. The instruction decoder decodes the instruction into the following fields:

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
<th>Possible Values</th>
</tr>
</thead>
<tbody>
<tr>
<td>imm</td>
<td>Immediate</td>
<td>Appropriate Constant (assume proper sign extension)</td>
</tr>
<tr>
<td>rs1, rs2</td>
<td>Source Registers</td>
<td>Integers between 0 and 31</td>
</tr>
<tr>
<td>rd</td>
<td>Destination Register</td>
<td>Integer between 0 and 31</td>
</tr>
<tr>
<td>aluFunc</td>
<td>ALU Function</td>
<td>Add, Sub, And, Or, Xor, Sll, Sra, Srl</td>
</tr>
<tr>
<td>brFunc</td>
<td>Branch Comp. Function</td>
<td>Eq, Neq, Lt, Leq, Gt, Geq</td>
</tr>
<tr>
<td>bSel</td>
<td>ALU/Br Operand 2 Select</td>
<td>0 (rVal2), 1 (imm), 2, 3, 4… (others, if extended in later parts)</td>
</tr>
<tr>
<td>memFunc</td>
<td>Data Memory Function</td>
<td>Lw, Sw, Lh, Lhu, Sh, Lb, Lbu, Sb</td>
</tr>
<tr>
<td>memEn</td>
<td>Memory Enable</td>
<td>True/False</td>
</tr>
<tr>
<td>wdSel</td>
<td>Write Data Select</td>
<td>0 (pc + 4), 1 (dataOut), 2 (aluRes), 3, 4, 5… (others, if extended in later parts)</td>
</tr>
<tr>
<td>rfWen</td>
<td>Register File Write Enable</td>
<td>True/False</td>
</tr>
<tr>
<td>pcSel</td>
<td>Next PC Select</td>
<td>0 (jumpTarget), 1 (branchTarget), 2 (pc + 4), 3, 4, 5… (others, if extended in later parts)</td>
</tr>
</tbody>
</table>

(A) (2 points) As a warmup, complete the table on the right with what the decoded control signals should be for the jalr x7, 0x10(x9) instruction shown. Use possible values from the above table. Write “?” for don’t-care values. The table on the left is provided as an example.
(B) (3 points) Next, let us add support to be able to read the secret register that CafeCafe has
added to the processor. Her criteria for being able to access this register is simple. One uses
the following instruction (load secret) in order to access it.

\[
\text{lssecret rd, rs1; // reg[rd] <= (reg[rs1] == 0xcafe) ? secret value : 0}
\]

If the register \( rs1 \) contains the value 0xCAFECafe (this being the secret passcode) when this
instruction is executed, then the register \( rd \) will get the secret value written to it. Otherwise, a
zero is written to it. The secret register that CafeCafe has added to her processor works as follows:
if its \( rdEn \) input is asserted, then it outputs the secret value, otherwise it outputs a zero.

Connect the wires connected to Secret Register to appropriate places on the above diagram. You
cannot add additional hardware, other than wires and constants, to this processor. Thus, you need
to find a way to use the existing comparator to perform the comparison with 0xCAFECafe. The
multiplexers have been widened for your convenience. If you choose to add additional inputs to
multiplexers, indicate so clearly in part (C) if they are to be selected by the multiplexer.
Connect \( brTaken \) to \( rdEn \), connect secret value to input 3 of \( wdSel \), and make a constant input to
\( bSel \) 0xCAFECafe.
(C) (3 points) What does the decoder need to output for the following fields when it sees an `lsecret` instruction shown below?

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>imm</td>
<td>?</td>
</tr>
<tr>
<td>rs1</td>
<td>9</td>
</tr>
<tr>
<td>rs2</td>
<td>?</td>
</tr>
<tr>
<td>rd</td>
<td>6</td>
</tr>
<tr>
<td>aluFunc</td>
<td>?</td>
</tr>
<tr>
<td>brFunc</td>
<td>Eq</td>
</tr>
<tr>
<td>bSel</td>
<td>2 (constant 0xcafecafe)</td>
</tr>
<tr>
<td>memFunc</td>
<td>?</td>
</tr>
<tr>
<td>memEn</td>
<td>False</td>
</tr>
<tr>
<td>wdSel</td>
<td>3 (secret value)</td>
</tr>
<tr>
<td>rfWen</td>
<td>True</td>
</tr>
<tr>
<td>pcSel</td>
<td>2 (pc + 4)</td>
</tr>
</tbody>
</table>

(D) (6 points) Uh oh! Cafecafe’s gossip secrets are already outdated. She wants to modify her processor so that she can update the secret value by supplying the correct password. She intends to use the following instruction (store secret) to update the secret value.

```c
ssecret rd, rs1, rs2;
// authenticated = (reg[rs1] == 0xcafecafe);
// if (authenticated) secret value <= reg[rs2];
// red[rd] <= authenticated;
```

If the register `rs1` contains the value `0xcafecafe`, `ssecret` updates the secret value to the value of register `rs2`. Register `rd` stores the result of this operation: 1 if successful, 0 if failed. The secret register now works as follows: if its rdEn input is asserted, then it outputs the secret value, otherwise it outputs a zero (as before). If wrEn is asserted, the register updates its secret value to the secret value to write input.

Do we need to output an additional field/signal from the instruction decoder? If yes, why did `lsecret` not need this additional field? If no, how can the processor stop undesirable values from being written to the secret value register when the instruction being executed isn’t `ssecret`? You may want to implement the necessary hardware changes before answering these questions.

Do we need an additional field? Circle: [YES] [NO]

If yes, provide a descriptive name for the field: _____secretEn_____
Explanation:
Yes, we do need an additional field because the wrEn signal needs to be (secretEn && brTaken) to stop the secret value from being updated if the instruction is not ssecret or if the comparison failed. Isecret did not need this field because the decoder can simply set wdSel to something else or rfWen to False to stop the secret value from being written to the register file.

Next, using no more than one 2-input (1-bit wide) additional AND gate, wire up the two new inputs of the secret register (wrEn and secret value to write) into the processor diagram. **Make sure to also show all your changes from part (B).** If you add any additional inputs to a multiplexer, make sure to indicate them clearly in part (E) if they are to be selected by the multiplexer.

In addition to the previous connections, also need to connect wrEn to (secretEn && brTaken). Connect brTaken to yet another input of wdSel, input 4. Connect rVal2 to secret value to write.
(E) (3 points) Finally, provide the field values that the decoder needs to generate when it encounters the following instruction. **Write your new field name and field value in the blank row if you chose yes for part (D).**

<table>
<thead>
<tr>
<th>Field</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>imm</td>
<td>?</td>
</tr>
<tr>
<td>rs1</td>
<td>12</td>
</tr>
<tr>
<td>rs2</td>
<td>8</td>
</tr>
<tr>
<td>rd</td>
<td>14</td>
</tr>
<tr>
<td>aluFunc</td>
<td>?</td>
</tr>
<tr>
<td>brFunc</td>
<td>Eq</td>
</tr>
<tr>
<td>bSel</td>
<td>2 (constant 0xcafe)</td>
</tr>
<tr>
<td>memFunc</td>
<td>?</td>
</tr>
<tr>
<td>memEn</td>
<td>False</td>
</tr>
<tr>
<td>wdSel</td>
<td>4 (brTaken)</td>
</tr>
<tr>
<td>rfWen</td>
<td>True</td>
</tr>
<tr>
<td>pcSel</td>
<td>2 (pc + 4)</td>
</tr>
<tr>
<td>secretEn</td>
<td>True</td>
</tr>
</tbody>
</table>
Problem 4: Fun with Caches (19 points)

Consider a 2-way set associative cache with 4 sets and a block size of 4. Assume that addresses and data words are 32 bits.

(A) (3 points) To ensure the best cache performance, which address bits should be used for the block offset, the cache index, and the tag field?

- Address bits used for byte offset: A[1:0]
- Address bits used for block offset: A[3:2]
- Address bits used for cache index: A[5:4]
- Address bits used for tag field: A[[31:6]

We want to analyze the performance of this 2-way set associative cache on the following assembly program which computes the average of two arrays, A and B, and stores the results in a third array, C so that C[i] = (A[i] + B[i])/2. Each array has a total of 8 elements. The base address of array A is 0x1000, of array B is 0x2040, and of array C is 0x3020.

```assembly
.globl .
.globl loop

." The following code starts at address 0x100

// Assume the following registers are initialized:
// x1 = 0 (loop index)
// x2 = 8 (number of array elements)
// x3 = 0x1000 (base address of array A)
// x4 = 0x2040 (base address of array B)
// x5 = 0x3020 (base address of array C)

loop:
    lw x6, 0(x3)    // x6 = A[i]
    lw x7, 0(x4)    // x7 = B[i]
    add x8, x6, x7  // x8 = A[i] + B[i]
    srl x8, x8, 1   // x8 = (A[i] + B[i])/2
    sw x8, 0(x5)    // C[i] = (A[i] + B[i])/2
    addi x3, x3, 4  // x3 = address of next element of A
    addi x4, x4, 4  // x4 = address of next element of B
    addi x5, x5, 4  // x5 = address of next element of C
    addi x1, x1, 1  // increment loop index
    blt x1, x2, loop // repeat loop until all elements are averaged
```

We want to analyze the performance of this 2-way set associative cache on the following assembly program which computes the average of two arrays, A and B, and stores the results in a third array, C so that C[i] = (A[i] + B[i])/2. Each array has a total of 8 elements. The base address of array A is 0x1000, of array B is 0x2040, and of array C is 0x3020.
(B) (8 points) Assume the cache is empty before execution of this code (i.e., all valid bits are 0). Assume that the cache uses a least-recently used (LRU) replacement policy, and that all cache lines in Way 0 are currently the least-recently used. **Fill in, or update, all the known values** of the LRU bit, the dirty bit (D), the valid bit (V), the Tag, and the data words after one loop iteration (after executing the blt instruction for the first time). For word fields, fill them in with the opcode if they are instructions (e.g., blt) or fill them in with the array element if they are data (e.g., B[2]).

The loop code is repeated here for your convenience:

```assembly
. = 0x100 // The following code starts at address 0x100
loop:
    lw x6, 0(x3)     // x6 = A[i]
    lw x7, 0(x4)     // x7 = B[i]
    add x8, x6, x7   // x8 = A[i] + B[i]
    srli x8, x8, 1   // x8 = (A[i] + B[i])/2
    sw x8, 0(x5)     // C[i] = (A[i] + B[i])/2
    addi x3, x3, 4   // x3 = address of next element of A
    addi x4, x4, 4   // x4 = address of next element of B
    addi x5, x5, 4   // x5 = address of next element of C
    addi x1, x1, 1   // increment loop index
    blt x1, x2, loop // repeat loop until all elements are averaged
```

<table>
<thead>
<tr>
<th>LRU</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Way 0**

<table>
<thead>
<tr>
<th>D</th>
<th>V</th>
<th>Tag</th>
<th>Word 3</th>
<th>Word 2</th>
<th>Word 1</th>
<th>Word 0</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>1</td>
<td>0x4</td>
<td>srli</td>
<td>add</td>
<td>lw</td>
<td>lw</td>
</tr>
<tr>
<td>0</td>
<td>1</td>
<td>0x4</td>
<td>addi</td>
<td>addi</td>
<td>addi</td>
<td>sw</td>
</tr>
</tbody>
</table>

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Way 1**

<table>
<thead>
<tr>
<th>D</th>
<th>V</th>
<th>Tag</th>
<th>Word 3</th>
<th>Word 2</th>
<th>Word 1</th>
<th>Word 0</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>1</td>
<td>0x4</td>
<td></td>
<td></td>
<td>blt</td>
<td>addi</td>
</tr>
</tbody>
</table>

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
(C) (4 points) During the execution of the **first iteration of the loop**, how many instruction hits and instruction misses occurred, and how many data hits and data misses occurred?

- Number of Instruction Hits in First Loop Iteration: _____ 7 _____
- Number of Instruction Misses in First Loop Iteration: _____ 3 _____
- Number of Data Hits in First Loop Iteration: _____ 0 _____
- Number of Data Misses in First Loop Iteration: _____ 3 _____

(D) (4 points) During the execution of the **second iteration of the loop**, how many instruction hits and instruction misses occurred, and how many data hits and data misses occurred?

- Number of Instruction Hits in Second Loop Iteration: _____ 10 _____
- Number of Instruction Misses in Second Loop Iteration: _____ 0 _____
- Number of Data Hits in Second Loop Iteration: _____ 1 _____
- Number of Data Misses in Second Loop Iteration: _____ 2 _____
Problem 5. Fake it till you write back (19 points)

Ben Bitdiddle writes the following loop in RISC-V assembly to sum the elements of an array:

```assembly
loop: lw a1, 0(a2)
      add a0, a0, a1
      addi a1, a1, 4
      blt a1, a3, loop
      slli a0, a0, 2 # some instructions following the loop
      xori a0, a0, 4
      and a0, a0, a1
```

Ben runs this on a standard 5-stage pipeline (IF, DEC, EXE, MEM, WB). In this pipeline:
- Branches are predicted not taken.
- Branches and jumps are resolved in the EXE stage.
- Bypassing is done to the end of the DEC stage. Full bypassing is implemented.
- The data memory returns the result of a load in the WB stage.

(A) (4 points) Fill in the pipeline diagram below for cycles 100-109, assuming that at cycle 100 the `lw a1, 0(a2)` instruction is fetched. Assume the loop runs for many iterations, and `blt` is taken. **Draw arrows indicating each use of bypassing.** Ignore cells shaded in gray.

(B) (2 points) How many cycles does each iteration of the loop take? For each loop iteration, how many cycles are wasted due to stalls? How many are wasted due to annulments?

<table>
<thead>
<tr>
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<th>100</th>
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<th>102</th>
<th>103</th>
<th>104</th>
<th>105</th>
<th>106</th>
<th>107</th>
<th>108</th>
<th>109</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF</td>
<td>lw</td>
<td>add</td>
<td>addi</td>
<td>addi</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td>xor i</td>
<td>lw</td>
<td>add</td>
</tr>
<tr>
<td>DEC</td>
<td>lw</td>
<td>add</td>
<td>add</td>
<td>addi</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td>NOP</td>
<td>lw</td>
<td></td>
</tr>
<tr>
<td>EXE</td>
<td>lw</td>
<td>NOP</td>
<td>NOP</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td></td>
</tr>
<tr>
<td>MEM</td>
<td>lw</td>
<td>NOP</td>
<td>NOP</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td></td>
</tr>
<tr>
<td>WB</td>
<td>lw</td>
<td>NOP</td>
<td>NOP</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td></td>
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</tbody>
</table>

(B) Number of cycles per loop iteration: _______8_________

Number of cycles per loop iteration wasted due to stalls: _______2_________

Number of cycles per loop iteration wasted due to annulments: _______2_________
Ben studies his program and finds that most values in the array are 0. He modifies his 5-stage pipeline to perform load value prediction to improve performance. In this pipeline:

- If an instruction uses the result of a load, but the load has not yet produced the result, the pipeline predicts that the load will produce a 0. The bypass mux feeds value 0 to the consumer instruction instead of stalling it. This instruction continues execution speculatively, since it is using a predicted value.
- When a load reaches the WB stage, it checks whether the loaded value is 0. If it is not, and if a later instruction speculatively used a 0 value, then we guessed incorrectly. To preserve correct behavior, the pipeline flushes all instructions following the load. In the following cycle, the pipeline restarts execution of the instruction following the load at the IF stage.

(C) (10 points) Fill in the pipeline diagrams below for cycles 100-109 for this new pipeline. As before, assume that at cycle 100 \texttt{lw a1, 0(a2)} is fetched, and \texttt{blt} is taken. \textbf{Draw arrows indicating each use of bypassing and load value prediction.} Ignore cells shaded in gray.

First, assume that \texttt{lw a1, 0(a2)} loads value 0 from memory.

<table>
<thead>
<tr>
<th></th>
<th>100</th>
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<th>104</th>
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<th>106</th>
<th>107</th>
<th>108</th>
<th>109</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td>xori</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
</tr>
<tr>
<td>DEC</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td>NOP</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>NOP</td>
</tr>
<tr>
<td>EXE</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>NOP</td>
<td>NOP</td>
<td>\texttt{lw}</td>
<td>add</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MEM</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>\texttt{lw}</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WB</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>add</td>
</tr>
</tbody>
</table>

Second, assume that \texttt{lw a1, 0(a2)} loads value 1 from memory.

<table>
<thead>
<tr>
<th></th>
<th>100</th>
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<th>104</th>
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<th>106</th>
<th>107</th>
<th>108</th>
<th>109</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td>xori</td>
</tr>
<tr>
<td>DEC</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>NOP</td>
<td>add</td>
<td>addi</td>
<td>blt</td>
<td>slli</td>
<td></td>
</tr>
<tr>
<td>EXE</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>addi</td>
<td>NOP</td>
<td>NOP</td>
<td>add</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
</tr>
<tr>
<td>MEM</td>
<td>\texttt{lw}</td>
<td>add</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
</tr>
<tr>
<td>WB</td>
<td>\texttt{lw}</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>add</td>
</tr>
</tbody>
</table>
(D) (3 points) How many cycles does the execution of the loop take when load value prediction succeeds vs. when it fails? What percentage of loaded values must be zero for this technique to improve performance?

Cycles per loop iteration when load value prediction succeeds: _________ 6 _________

Cycles per loop iteration when load value prediction fails: _________ 10 _________

Percentage of 0s for load value prediction to help: _________ >50% _________

Read after the quiz: It may seem that load value prediction is not very useful, because values are hard to predict, zeros are not that common, and we’re not saving that many cycles per correct prediction. But in more complex pipelines, more cycles can be saved per prediction, so this idea sometimes makes sense! If you’re interested, see “Value Locality and Load Value Prediction”, by Lipasti, Wilkerson, and Shen, ASPLOS 1996.
**Problem 6. Pipelined Processor Performance (13 points)**

You were hired as a systems engineer by a processor manufacturing company that specializes in the performance on map operations. Specifically, the company’s processors work best for applying a function to each element of an array. Your boss thinks the processor with the best performance is the one with the minimum number of cycles and your first task on your first day is to design a processor with the best performance on the following benchmarking assembly code (the function here is an `addi` instruction):

```assembly
// Assume that the starting address of the array
// already exists in register x12 and the end
// address of the array is in register x16.
loop:
    lw x10, 0(x12)
    addi x10, x10, 2
    sw x10, 0(x12)
    addi x12, x12, 4
    ble x12, x16, loop

some_other_code:    add x3, x4, x5
                    sub x5, x4, x3
```

Assume that the branch will always be taken. Branch decisions are resolved in the EXE stage.

(A) (4 points) As a proud 6.191 graduate, you know that you can build the beloved 5-stage pipelined processor from lecture with stages IF, DEC, EXE, MEM, and WB. Your first attempt is to build the baseline 5-stage pipelined processor without bypassing. Fill out the pipeline diagram below for the first 10 cycles. Fill in any stalled/annulled stages with NOPs. Calculate the number of cycles the processor takes to execute one iteration of the above loop. Also, specify the number of cycles wasted per loop iteration due to stalls and annulments. Additional pipeline diagrams are available at the end of the exam if needed.

<table>
<thead>
<tr>
<th>Cycle</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF</td>
<td>lw</td>
<td>addi</td>
<td>sw</td>
<td>sw</td>
<td>sw</td>
<td>sw</td>
<td>addi</td>
<td>addi</td>
<td>addi</td>
<td>addi</td>
</tr>
<tr>
<td>DEC</td>
<td></td>
<td>lw</td>
<td>addi</td>
<td>addi</td>
<td>addi</td>
<td>addi</td>
<td>sw</td>
<td>sw</td>
<td>sw</td>
<td>sw</td>
</tr>
<tr>
<td>EXE</td>
<td></td>
<td></td>
<td>lw</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>addi</td>
<td>NOP</td>
<td>NOP</td>
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<tr>
<td>MEM</td>
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<td>lw</td>
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<td>addi</td>
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<tr>
<td>WB</td>
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<td></td>
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<td>lw</td>
<td>NOP</td>
<td>NOP</td>
<td>NOP</td>
<td>addi</td>
<td>NOP</td>
</tr>
</tbody>
</table>

Number of cycles per loop iteration: ______16______

Number of cycles per loop iteration wasted due to stalls: ______9______

Number of cycles per loop iteration wasted due to annulments: ______2______
B) (5 points) Your boss was not impressed with the baseline 5-stage pipelined processor. So you now decide to implement the same 5-stage pipelined processor but with **full bypassing** this time. Fill out the pipeline diagram below for the first 10 cycles. Fill in any stalled/annulled stages with NOPs and clearly show all your bypass arrows. Calculate the number of cycles the processor takes to execute one iteration of the loop. Also, specify the number of cycles wasted per loop iteration due to stalls and annulments. For your convenience, the assembly code is repeated below:

```assembly
// Assume that the starting address of the array
// already exists in register x12 and the end
// address of the array is in register x16.
loop: lw  x10, 0(x12)
    addi x10, x10, 2
    sw  x10, 0(x12)
    addi x12, x12, 4
    ble x12, x16, loop

some_other_code: add x3, x4, x5
    sub x5, x4, x3
```

Again, assume that the branch will always be taken.

<table>
<thead>
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<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF</td>
<td>lw</td>
<td>addi</td>
<td>sw</td>
<td>sw</td>
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<td>addi</td>
<td>ble</td>
<td>add</td>
<td>sub</td>
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<td>DEC</td>
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<td>addi</td>
<td>addi</td>
<td>sw</td>
<td>addi</td>
<td>ble</td>
<td>add</td>
<td>NOP</td>
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</tr>
<tr>
<td>EXE</td>
<td>lw</td>
<td>NOP</td>
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<td>addi</td>
<td>sw</td>
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<td>ble</td>
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<td>MEM</td>
<td>lw</td>
<td>NOP</td>
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<td>lw</td>
<td>NOP</td>
<td>NOP</td>
<td>addi</td>
<td>sw</td>
<td>addi</td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

Number of cycles per loop iteration: ______ 9 ______

Number of cycles per loop iteration wasted due to stalls:______ 2 ______

Number of cycles per loop iteration wasted due to annulments:______ 2 ______
(C) (4 points) Your boss is impressed by your pipelined processor with full bypassing. However, he tells you that you can still get better performance with your processor from part (B) by modifying and/or reordering some of the instructions in the assembly code. If you think your boss is right, please provide the modified assembly code below and calculate the number of cycles per loop iteration. If you think your boss is wrong, argue why this is impossible below.

We have provided a pipeline diagram below that you can use to help answer the question, but you do not need to fill the pipeline diagram.

Is your boss correct? Circle one: YES  NO

If yes, modified loop assembly code:

```assembly
loop:
    lw  x10, 0(x12)
    addi x12, x12, 4
    addi x10, x10, 2
    sw  x10, -4(x12)
    ble x12, x16, loop

some_other_code:    add x3, x4, x5
                    sub x5, x4, x3
```

If yes, number of cycles per loop iteration: _____8_____

If no, explanation: ____________________________

END OF QUIZ 2!